

# Inducing Causal Structure for Interpretable Neural Networks (ICML 2022)

---

SeongSik Choi

January 2, 2024

Seoul National University

# Notation

$\mathcal{V}$  : a set of variables

For variable  $V \in \mathcal{V}$ ,  $\text{Val}(V)$  : a set of values

$PA_V$  : a set of parents

$F_V$  : a structural equation that sets the value of  $V$  based on the setting of its parents.

$V_{\text{In}}$  : the set of variables with no parents

$V_{\text{Out}}$  : those with no children.

A structural causal model  $\mathcal{M} = (\mathcal{V}, PA, \text{Val}, F)$  can represent both symbolic computations and neural networks.

## Notation(GetVals)

We define  $\text{GetVals}(\mathcal{M}, \text{inp}, V) \in \text{Val}(V)$  : the particular values that  $V$  takes on when the model  $\mathcal{M}$  processes  $\text{inp}$ .

For example,  $\mathcal{M}$  could correspond to structure and weight parameters in a neural network and  $V$  could correspond to nodes in a neural network.

For a set of variables  $V$  and a setting for those variables  $v \in \text{Val}(V)$ , we define  $\mathcal{M}_{V \leftarrow v}$  to be the causal model identical to  $\mathcal{M}$ , except that the structural equations for  $V$  are set to constant values  $v$ .

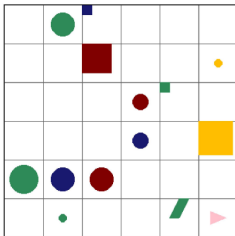
## Notation(Interchange Intervention)

$$\text{INTINV}(\mathcal{M}, \text{base}, \text{source}, \mathbf{V}) \stackrel{\text{def}}{=} \text{GetVals}(\mathcal{M}_{V \leftarrow \text{GetVals}(\mathcal{M}, \text{source}, V)}, \text{base}, \mathbf{V}_{\text{Out}})$$

In short, the interchange intervention provides the output of the model  $\mathcal{M}$  for the input base, except the variables  $\mathbf{V}$  are set to the values they would have if source were the input.

## Example : Navigation and Language (ReaSCAN)

The goal is to predict an action sequence for the agent to reach the referred target and operate on it given a command and a grid world.



$I_{\text{world}}$ (World) : Figure

$I_{\text{com}}$ (Command) : “walk the cylinder”

$O$ (Action sequence) : 'turn left', 'turn left', 'walk'

For each variable  $V$  in  $\mathcal{C}_{\text{ReaSCAN}}$  aligned with neurons  $\mathbf{N}_V$  in  $\mathcal{N}_{\text{CNN-LSTM}}^\theta$ , we optimize for  $\mathcal{N}_{\text{CNN-LSTM}}^\theta$  implementing the marginalized submodel  $\mathcal{C}_{\text{ReaSCAN}}^V$  :

$$\sum_{b,s \in \text{ReaSCAN}} \text{CE}_{\text{Action}} (\text{INTINV} (\mathcal{N}_{\text{CNN-LSTM}}^\theta, \mathbf{b}, \mathbf{s}, \mathbf{N}_V), \text{INTINV} (\mathcal{C}_{\text{ReaSCAN}}^V, \mathbf{b}, \mathbf{s}, V),$$

where  $\text{CE}_{\text{Action}}$  is the cross-entropy loss over each action token prediction over the complete action sequence.

# Correspondence between $V$ and $N_V$

The correspondence between variable  $V$  and node  $N_V$  is as shown in the following diagram.

